

FORMALITIES LETTER


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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/518,722 | 03/03/2000 | Robert W Cook | 1744.0550001/MQL/JTH |

Sterne Kessler Goldstein & Fox P L L C
Attorney at Law
Suite 600
1100 New York Avenue N W
Washington, DC 20005-3934



Date Mailed: 05/09/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

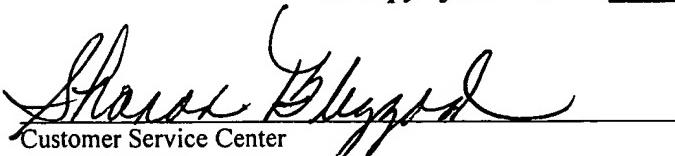
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$906.
 - \$594 for 33 total claims over 20.
 - \$312 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1726.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

| | | | |
|--------|--------|--------|--------|
| 09 | 08 | 08 | 08 |
| 690.00 | 594.00 | 312.00 | 130.00 |

37/12/2000 GTEFFERA 00000108 09518722

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|--------|--------|--------|--------|
| FC:101 | FC:103 | FC:102 | FC:105 |
| FC:101 | FC:103 | FC:102 | FC:105 |

sec/ox
SB

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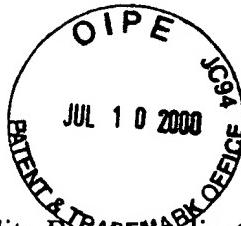
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Commissioner for Patents
Washington, D.C. 20231



WRITER'S DIRECT NUMBER:
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Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/518,722; Filed: March 3, 2000
For: Security Access Based on Facial Features
Inventors: Cook *et al.*
Our Ref: 1744.0550001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated May 9, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. An original, executed Combined Declaration and Power of Attorney;
4. An original, executed Certificate under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
5. An original, executed Power of Attorney from Assignee;

Commissioner for Patents

July 10, 2000

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6. Our Check No. 28055 for \$1,726.00 to cover:

\$ 690.00 Filing Fee for Patent Application (37 C.F.R. § 1.16),
\$ 906.00 Fee for excess claims (37 C.F.R. § 1.16), and
\$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and

7. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee
Attorney for Applicants
Registration No. 35,239

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|--|------------------------------------|--|
| FEET TRANSMITTAL for FY 2000 | | Complete if Known | |
| <i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement. otherwise large entity fees must be paid. See Forms PTO/SB/09-12 and PTO/SB/09-13. See 37 C.F.R. §§ 1.27 and 1.28.</i> | | JUL 10 2000 PTO/FEES/TRADEMAKES | |
| TOTAL AMOUNT OF PAYMENT | | (\$1,726.00) | |
| | | | |

| | | | |
|---|---|------------------------------------|-----------------|
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | |
| 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: | | 3. ADDITIONAL FEES | |
| Deposit Account Number | 19-0036 | | |
| Deposit Account Name | Sterne, Kessler, Goldstein & Fox P.L.L.C. | | |
| <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 | | | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036. | | | |
| FEE CALCULATION | | | |
| 1. BASIC FILING FEE | | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) |
| 101 | 690 | 201 | 345 |
| Utility filing fee <u>\$690.00</u> | | | |
| 106 | 310 | 206 | 155 |
| Design filing fee <u></u> | | | |
| 107 | 480 | 207 | 240 |
| Plant filing fee <u></u> | | | |
| 108 | 690 | 208 | 334 |
| Reissue filing fee <u></u> | | | |
| 114 | 150 | 214 | 75 |
| Provisional filing fee <u></u> | | | |
| SUBTOTAL (1) (\$690.00) | | | |
| 2. EXTRA CLAIM FEES | | | |
| Total Claims | 53 - 20** = | 33 x \$18.00 = | \$594.00 |
| Indep. Claims | 7 - 3** = | 4 x \$78.00 = | \$312.00 |
| Multiple Dependent <u></u> = <u></u> | | | |
| ** or number previously paid, if greater; For Reissues, see below | | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) |
| 103 | 18 | 203 | 9 |
| Claims in excess of 20 | | | |
| 102 | 78 | 202 | 39 |
| Independent claims in excess of 3 | | | |
| 104 | 260 | 204 | 130 |
| Multiple dependent claim | | | |
| 108 | 78 | 209 | 39 |
| **Reissue independent claims over original patent | | | |
| 110 | 18 | 210 | 9 |
| **Reissue claims in excess of 20 and over original patent | | | |
| SUBTOTAL (2) (\$906.00) | | | |
| Other fee (specify): <u></u> | | | |
| Other fee (specify): <u></u> | | | |
| *Reduced by Basic Filing Fee Paid | | | |
| SUBTOTAL (3) (\$ <u></u>) | | | |

| | | | | |
|-----------------------|----------------|---------------------------------|-------------|--------|
| SUBMITTED BY | | Complete (if applicable) | | |
| Type or Printed Name | Michael D. Lee | | | |
| Signature | Date | 7/10/2000 | Reg. Number | 35,239 |
| Deposit Acct. User ID | | | | |

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.